

## Annex 4 – Confidentiality Declaration of the Principal Researcher

### **WARNINGS**

*This statement specifies the obligations of the Principal Researcher and the measures envisaged when these requirements are not met.*

*This statement is an integral part of the Research Proposal application form and it implies unconditional acceptance by the Principal Researcher of the conditions of use of microdata contained in the Confidentiality Undertaking attached to the Application form for Recognition.*

Pursuant to Art. 5-ter, para 1, letter c) of Legislative Decree no. 33/2013<sup>1</sup>,

the undersigned .....  
(enter the name of the researcher named in the Research Proposal application form),

belonging to the Entity .....  
(enter the name of the Recognized Research Entity),

declares to have read and to accept the conditions of use of the microdata contained in the Confidentiality Undertaking of the entity requiring recognition, and commits:

- to process microdata in compliance with Art. 5-ter of Legislative Decree no. 33/2013 and the regulations on personal data protection;
- to adopt the security measures provided for by.....  
(enter the name of the Recognized Research Entity) in order to ensure the security of microdata, preventing and minimizing the risks of destruction or loss, even accidental, of the data themselves, of dissemination or unauthorized access, or processing not compliant with the purposes of the Research Project for which access has been authorized;
- to have all researchers indicated in the Research Proposal sign the Confidentiality Declaration;
- to use microdata provided by Istat only for the purposes of the Research Project referred to in the Research Proposal application form, and for the analysis activities described therein;
- to prevent unauthorized access to microdata and dissemination, even partial;
- not to attempt in any way to identify statistical units and to take the necessary measures to prevent this;

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<sup>1</sup> Article 5-ter, para 1, letter c) of Legislative Decree no. 33/2013 provides that the Research Proposal application form must be accompanied by the Confidentiality Declarations individually signed by the researchers for whom access to data is requested.

- to ensure that the results of the Research Project are communicated or disseminated only in such a way as to prevent identification of statistical units;
  - to ensure that in all works and publications produced using all or part of the microdata, the Istat source and the name of the specific data source be mentioned, specifying that responsibility for the conclusions drawn is to be attributed exclusively to the author(s);
  - to provide Istat with the references of the works and publications produced using its microdata.
- In the case of access to **Scientific use files (SUFs)**, the undersigned also undertakes:
- to save, store and process microdata using the appropriate security measures to prevent the risk of destruction or loss, even accidental, of the data themselves, of unauthorized access or processing that does not comply with the purposes of the Research Project and analysis activities referred to in the Research Proposal application form;
  - to ensure that at the end of the Research Project, at the date set in the Research Proposal application form, microdata and their whole, or even partial, copies be destroyed, as well as the intermediate results of their elaboration which may allow the identification of statistical units. It is also undertaken to demonstrate, through appropriate documentation, that such destruction has taken place by secure and permanent erasure techniques.
- In the case of access to **Secure use files** the undersigned also undertakes:
- not to misappropriate microdata, their whole or even partial copies, or intermediate results of their processing;
  - not to introduce into Istat Safe Centre any data which could allow the identification of the statistical units to which microdata refer, nor to make any attempt to identify them;
  - to present the final and complete result of the analysis of microdata (output) for the confidentiality check by Istat before its release;
  - not to disclose to others the credentials for remote access to microdata received by Istat as owner of the data.

The undersigned is aware that the violation of commitments undertaken, together with the sanctions as provided for in Art. 162, para 2-bis, as successively amended by the full implementation of Eu Regulation no. 679/2016, and of Legislative Decree no. 196 /2003 for violation of the prohibition to process microdata beyond the scope set in the research project, to store them beyond the project's duration, to communicate them to third parties and to disseminate them (Art. 5-ter, para 1, letter c) of Legislative Decree no. 33/2013), and sanctions against violation of the provisions of sector regulations on personal data protection and sanctions provided for by the Civil and Criminal Code, as well as liability or disciplinary actions provided for by the regulations of the affiliation entity, Istat may apply one or more of the following measures (as stated by the *"Guidelines for the access for scientific purposes to the*

*microdata of the National Statistical System (Sistan)”, adopted by the Steering and Coordination Committee for Statistical Information (Comstat):*

- the researcher may be prohibited from using the microdata requested and obtained for the Research Project;
- the researcher may be prohibited from using the requested microdata for all ongoing Research projects in which the researcher is involved;
- the researcher may be prohibited from participating in new Research projects for a period of one to three years.

It is also declared that:

- neither the undersigned nor the researchers participating in the Research Project have ever been sanctioned by any Sistan body for violating the undertakings set forth in previous Research projects; or
- the undersigned and the researchers participating in the Research Project have been sanctioned for violating undertakings set forth in previous Research projects

.....  
.....  
*(please, indicate which measure has been taken and to whom it has been applied).*

Place and Date .....

Signature .....

#### **Information on personal data treatment**

Personal data collected will be used solely by Istat for evaluating the Research Proposal and, if approved, for providing the requested service. They may be communicated to Comstat and processed for the purpose of monitoring the management system.

The Italian National Institute of Statistics in Rome shall be responsible for the treatment of personal data.

In order to exercise their rights, as provided for in Art. 13 et seq. of the Eu Regulation 2016/679, interested parties shall contact the Data Protection Officer at the following email address: [responsabileprotezionedati@istat.it](mailto:responsabileprotezionedati@istat.it)

The party has moreover the right to lodge a complaint to the Personal Data Protection Authority pursuant to Art. 77 of the Eu Regulation 2016/679.